

**DRAFT ONLY**  
**Not the Real Thing**

**Eligibility criteria – ARTEMIS Call 2010**

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## 1. Context and scope

*This document describes the "ARTEMIS Joint Undertaking" (ARTEMIS JU) eligibility criteria for proposals, the eligibility criteria for ARTEMIS JU funding of individual participants, and the eligibility criteria for national funding of individual participants from ARTEMIS Member States.*

*These criteria are established in accordance with:*

- *ARTEMIS JU's own Financial Rules;*
- *Council Regulation (EC) No 74/2008 of 20 December 2007 on the establishment of the 'ARTEMIS Joint Undertaking' to implement a Joint Technology Initiative on embedded computing systems<sup>1</sup> (hereinafter the "**Council Regulation**");*
- *the national eligibility criteria for national funding communicated to the ARTEMIS Joint Undertaking by the ARTEMIS Member States<sup>2</sup>.*

*These criteria apply to proposals submitted in response to calls for proposals launched by the ARTEMIS JU and do not apply to any public procurement procedures.*

## 2. Eligibility checks

The following eligibility checks will be carried out by the ARTEMIS Joint Undertaking:

1. Eligibility Criteria for Proposals (Two-stage procedure);
2. Eligibility Criteria for ARTEMIS JU funding of individual participants;
3. Eligibility Criteria for national funding of individual participants from ARTEMIS Member States.

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<sup>1</sup> OJ L 30, 04.02.2008, p. 52.

<sup>2</sup> The list of ARTEMIS Member States is published in the Call for proposals

## **2.1. Eligibility Criteria for Proposals (Two-stage procedure)**

For ARTEMIS Call 2010, a two-stage procedure will be followed: proposers must submit **Project Outlines** (PO), followed by the submission of **Full Project Proposals** (FPP). The submission of an eligible Project Outline is mandatory for the submission of a Full Project Proposal.

### **2.1.1. Eligibility of Project Outlines (PO)**

A PO will only be considered eligible if it meets all of the following conditions:

- It is submitted using the Electronic Proposal Submission System (EPSS)
- It is received by the ARTEMIS JU before the deadline given in the call text for POs.
- It involves at least 3 non-affiliated legal entities<sup>3</sup> established in at least 3 ARTEMIS Member States<sup>4</sup>
- It is complete (i.e. both the administrative forms and the proposal description as requested in the Guide for Applicants are present in the PO).
- It is submitted in English<sup>5</sup>.
- The content of the PO relates to the topic(s) described in the Annual Workprogramme of the Call.

Only POs that satisfy the above eligibility criteria are receivable and will be assessed by the ARTEMIS Joint Undertaking. The assessment of the PO (or alternatively the non-compliance with the eligibility criteria) will be communicated to the proposers by the ARTEMIS Joint Undertaking.

If it becomes clear before, during or after this assessment phase that one or more of the PO eligibility criteria have not been fulfilled, the PO will be declared ineligible by the ARTEMIS JU and will be withdrawn from any further examination. Where there is a doubt on the eligibility of a PO, the ARTEMIS JU reserves the right to proceed with the assessment, pending a final decision on eligibility. The fact that a PO is assessed in such circumstances does not constitute proof of its eligibility. The results of such an assessment shall not be taken into consideration till a final decision on eligibility has been taken by the JU. In such a case the process of the PO will be suspended until a final decision has been taken.

### **2.1.2. Eligibility of Full Project Proposals (FPP)**

A Full Project Proposal must satisfy all of the following eligibility criteria in order to be retained for evaluation:

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<sup>3</sup> 'affiliated entity' means an affiliated entity as defined in Article 2 of the Regulation (EC) No 1906/2006.

<sup>4</sup> Currently: (Austria, Belgium, Cyprus, Czech Republic, Denmark, Spain, Estonia, Finland, France, Germany, Greece, Ireland, Italy, Hungary, Latvia, the Netherlands, Norway, Portugal, Romania, Slovenia, Sweden and the United Kingdom)

<sup>5</sup> Except for the additional information and forms that may be requested by ARTEMIS Member States for the verification of eligibility of national funding that can be in their respective national languages

- The corresponding Project Outline has been considered eligible by the ARTEMIS JU
- It is submitted using the Electronic Proposal Submission System (EPSS)
- It is received by the ARTEMIS JU before the deadline given in the call text for FPPs.
- It involves at least 3 non-affiliated legal entities established in at least 3 ARTEMIS Member States.
- It is complete (i.e. both the administrative forms and the proposal description as requested in the Guide for Applicants are present in the FPP).
- It is submitted in English<sup>6</sup>.
- The content of the proposal relates to the topic(s) described in the Annual Work programme of the Call.

Only FPPs that satisfy the above eligibility criteria are receivable and will be evaluated by the ARTEMIS Joint Undertaking. The evaluation of the FPP (or alternatively the non-compliance with the eligibility criteria) will be communicated to the proposers by the ARTEMIS Joint Undertaking.

If it becomes clear before, during or after the evaluation phase that one or more of the FPP 1 eligibility criteria have not been fulfilled, the FPP will be declared ineligible by the ARTEMIS JU and will be withdrawn from any further examination. Where there is a doubt on the eligibility of a FPP, the ARTEMIS JU reserves the right to proceed with the evaluation, pending a final decision on eligibility. The fact that a FPP is evaluated in such circumstances does not constitute proof of its eligibility. The results of such an evaluation shall not be taken into consideration till a final decision on eligibility has been taken by the JU. In such a case the process of the FPP will be suspended until a final decision has been taken.

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<sup>6</sup> Except for the additional information and forms that may be requested by ARTEMIS Member States for the verification of eligibility of national funding that can be in their respective national languages

## 2.2. **Eligibility Criteria for ARTEMIS JU funding of individual participants**

For participants in proposals that have passed the eligibility criteria for proposals (section 2.1 above), the ARTEMIS Joint Undertaking will carry out the necessary verifications against the following eligibility criteria for ARTEMIS JU funding, on the basis of verifications carried out by the European Commission. The ARTEMIS JU eligibility criteria for funding are the following:

- The participant has its location in an EU Member State or in an Associated Country to the Seventh Framework Programme<sup>7</sup>.
- Grants may not be awarded to applicants who are, at the time of the grant award procedure, in one of the following situations<sup>8</sup>:
  - they are bankrupt or in the state of being wound up, are having their affairs administered by the courts, have entered into an arrangement with creditors, have suspended business activities, are the subject of proceedings concerning those matters, or are in any analogous situation arising from a similar procedure provided for in national legislation or regulations;
  - they have been convicted of an offence concerning their professional conduct by a judgment which has the force of *res judicata*;
  - they have been guilty of grave professional misconduct proven by any means which the Joint Undertaking can justify;
  - they have not fulfilled obligations relating to the payment of social security contributions or the payment of taxes in accordance with the legal provisions of the country in which they are established or with those of the country of the Joint Undertaking or those of the country where the contract is to be performed;
  - they have been the subject of a judgment which has the force of *res judicata* for fraud, corruption, involvement in a criminal organization or any other illegal activity detrimental to the Communities' financial interests;
  - they are currently subject to an administrative penalty imposed by the Joint Undertaking or by the Community institutions as referred to in the general Financial Regulation.
  - they are subject to a conflict of interest;

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<sup>7</sup> These are currently: Albania, Austria, Belgium, Bulgaria, Croatia, Cyprus, Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Iceland, Ireland, Israel, Italy, Latvia, Liechtenstein, Lithuania, Luxembourg, FYR Macedonia, Malta, Montenegro, Netherlands, Norway, Poland, Portugal, Romania, Serbia, Slovakia, Slovenia, Spain, Sweden, Switzerland, Turkey, United Kingdom. Please consult the most recent information on the list of Associated Countries to FP7 in [ftp://ftp.cordis.europa.eu/pub/ftp7/docs/third\\_country\\_agreements\\_en.pdf](ftp://ftp.cordis.europa.eu/pub/ftp7/docs/third_country_agreements_en.pdf)

<sup>8</sup> At the negotiation stage, applicants should declare on their honour that they are not in one of these situations.

- they are guilty of misrepresentation in supplying the information required by the Joint Undertaking as a condition of participation in the grant award procedure or fail to supply this information.

### **2.2.1. Conclusion of national grant agreements**

According to the Council Regulation, project participants established in ARTEMIS Member States are eligible for funding from the ARTEMIS JU **after conclusion of a national grant agreement for such project with their corresponding national authority** following the award procedures of the ARTEMIS Joint Undertaking<sup>9</sup> and provided that such national authority has signed an 'administrative agreement' with the ARTEMIS JU<sup>10</sup>. The ARTEMIS JU will not conclude a grant agreement with a national participant from an ARTEMIS Member State if a corresponding national grant agreement is foreseen but has not been concluded.

For the following project participants, the condition described in the preceding paragraph (previous conclusion of a national grant agreement) does not apply:

- legal entities established in Member States or Associated Countries to the Seventh Framework Programme that are not ARTEMIS Member States<sup>11</sup>
- the Joint Research Center (JRC)<sup>12</sup>
- international organisations<sup>13</sup> having a seat in EU Member States or Associated Countries to the Seventh Framework Programme

### **2.2.2. Verification of participants' legal status**

At the negotiation stage and depending on their legal type, applicants may be requested to provide to the Joint Undertaking the following documents:

- a. Natural persons: (i) a legible photocopy of the valid identity card or passport and (ii) if, applicable, an official VAT document.
- b. Public bodies: (i) a copy of the resolution, law, decree or decision establishing the entity in question or, failing that, any other official document attesting to the establishment of the entity as a public body and (ii) if applicable, an official VAT document.
- c. Other legal entities: (i) a copy of any official document (e.g. official gazette, register of companies etc.) showing the participant's legal name and address and the registration number given to it by the national authorities and (ii) a copy of the VAT

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<sup>9</sup> According to the ARTEMIS Joint Undertaking selection and evaluation procedures related to Calls for proposals, ARTEMIS Member States may decide to establish grant agreements and fund organisations that are full partners in projects from other EU Member or Associated States of the Framework Programme. In this case, these organisations can be considered as national participants from the ARTEMIS Member States awarding the grant agreements.

<sup>10</sup> According to the Council Regulation, the ARTEMIS JU and ARTEMIS Member States will establish administrative arrangements in order to enable the implementation of projects and the granting of public funds

<sup>11</sup> Unless stipulated otherwise in the 'administrative agreement' that national authorities of these countries that are not ARTEMIS Member States may have signed with the ARTEMIS JU. Currently there are no countries in this situation.

<sup>12</sup> Unless the JRC applies in the proposal for national funding from an ARTEMIS Member State.

<sup>13</sup> Unless the international organisation applies in the proposal for national funding from an ARTEMIS Member State.

registration document, if any, and only if the VAT number does not appear on the official document referred to above.

The requested supporting documents must be provided within the deadline specified by the ARTEMIS Joint Undertaking in the invitation and/or in the framework for negotiation.

### **2.2.3. Verification of participants' financial and operational capacity**

Participants should have the financial and technical capacity to complete the project. For this reason, at the negotiation stage, each participant should provide a declaration on its honour that it has stable and sufficient sources of funding to maintain its activity throughout its participation in the project as well as that it has or will have the necessary resources as and when needed to carry out its involvement in the project.

Additionally, in compliance with the Joint Undertaking's financial rules, legal entities which are not exempted from a verification of their financial capacity<sup>14</sup>, may be required at the negotiation stage to provide the following:

- Legal persons: balance sheet and profit and loss account for the last year for which the accounts are closed and, if available<sup>15</sup>, statutory audit report on the two above financial statements.
- Natural persons: last income tax declaration, a certified declaration of their current patrimony and an exhaustive list of their debts, broken-down in short-term debts and medium/long term debts, as certified by their creditors.

Each legal entity subject to a verification of its financial capacity requesting an estimated JU financial contribution exceeding EUR 500 000 should provide, in addition to the above, an audit report produced by an approved external auditor certifying the accounts of the last financial year available.

Further information shall be provided in the ARTEMIS Negotiation Guidelines.

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<sup>14</sup> See articles 173 and 176 of Council Regulation (EC) No 2342/2002 laying down detailed rules for the implementation of the Financial Regulation.

<sup>15</sup> The JU may require from the legal person a synthesis of the data of its balance sheet and profit and loss account in a specific format. The requirement on the statutory audit reports however can be waived for those legal entities which are exempted from audit under their national legislation.

### **2.3. Eligibility Criteria for national funding of participants from ARTEMIS Member States**

Participants from ARTEMIS Member States will be verified by the ARTEMIS JU, on the basis of verifications carried out by the respective national authorities, against the national eligibility criteria for national funding that have been communicated to the ARTEMIS JU by ARTEMIS Member States before the launch of the Call for proposals. These criteria are included in the following sections of this document. Some of these sections also indicate web pages; **national participants are requested to consult these web pages in order to have the complete information on the conditions they have to comply with in order to be eligible for national funding.** Where appropriate, national participants should provide in the proposal (at the Project Outline and/or the Full Project Proposal stage) the necessary information requested by the national funding authorities to verify the corresponding eligibility criteria for national funding.

### **2.3.1. Austria **UPDATED****

**PLEASE NOTE THAT THE FULL VERSION OF THIS DOCUMENT CAN BE FOUND AT: [www.ffg.at/artemis](http://www.ffg.at/artemis)**

Austria requires the following eligibility criteria for Austrian participants:

- timely submission as specified in the relevant call for proposals
- submission of a complete proposal
- submission of a complete Form C for Austrian Organisations
- for companies, commercial register number and the balance sheets of the last three years
- financial viability and business soundness is verified by means of an internal check - companies that are in danger of insolvency cannot be funded
- at least one Austrian enterprise in the consortium of a co-operative project
- for Austrian universities in a consortium that have uncovered eligible costs, i.e. eligible costs not covered by funding from ARTEMIS/ENIAC: submission of a declaration by the Austrian enterprises in the consortium that they will cover these costs, and provision of a binding financing plan for these costs in case the proposal is funded
- synergy with national R&D&I policies

#### **1) Type/nature of participants**

The following legal entities are eligible for funding:

- enterprises
- non-profit research institutions
- universities
- associations

Entities that are part of the Austrian federal administration are excluded from funding.

#### **2) Legal/administrative/financial conditions**

- For companies, commercial register number and balance sheets of the last three years will be verified.
- Financial viability and business soundness is verified by means of an internal check. Companies that are in danger of insolvency cannot be funded.
- The national rules on eligible costs for Austrian participants are available from the FFG at [www.ffg.at](http://www.ffg.at)

#### **3) Consortium configuration**

- There must be at least one Austrian enterprise in the consortium of a co-operative project.
- for Austrian universities in a consortium that have uncovered eligible costs, i.e. eligible costs not covered by funding from ARTEMIS/ENIAC: submission of a declaration by the Austrian enterprises in the consortium that they will cover these costs, and provision of a binding financing plan for these costs in case the proposal is funded

## 2.3.2. Belgium **UPDATED**

### Eligibility criteria for funding

For the national funding authority: [www.iwt.be](http://www.iwt.be). For further information please contact Nico Deblauwe ([ndb@iwt.be](mailto:ndb@iwt.be)) or Francis Deprez ([fd@iwt.be](mailto:fd@iwt.be)).

#### 1) Legal/administrative/financial conditions

The beneficiary must be a company established in the Flemish region<sup>16</sup>, with a sustainable activity in this region, based upon a sound business model. They have received no other public funding for the same activities.

#### 2) Consortium configuration

Projects should be introduced by companies and primarily executed to the benefit of these entities.

#### 3) Conditions on exploitation/impact

- Have the (financial) means to execute the project and a potential to use the results.
- The project should indicate socio-economic effects, which can be quantified by activities or investments by the partner after the completion of the project, in accordance with the ruling, detailed in the document [www.iwt.be/sites/default/files/subsidies/documenten/O&O\\_KMO\\_toel\\_doc\\_valorisatie\\_01062008.pdf](http://www.iwt.be/sites/default/files/subsidies/documenten/O&O_KMO_toel_doc_valorisatie_01062008.pdf).
- In case of potential military applications (including dual use), support can be restricted.

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<sup>16</sup> In case of a multinational company this should be the local branch or subsidiary.

### 2.3.3. Czech Republic **UPDATED**

#### Eligibility criteria for funding

Principal legal rules and regulations and documents on research and development in the Czech Republic:

<http://www.vyzkum.cz/FrontClanek.aspx?idsekce=15607>

<http://www.vyzkum.cz/FrontClanek.aspx?idsekce=858>

<http://www.mvcr.cz/sbirka/2008/sb025-08.pdf>

#### 1) Type/nature of participants

- Private enterprises
- Research institutions
- Universities

#### 2) Legal/administrative/financial conditions:

The funding of R&D activities is provided pursuant to the R&D Act No. 130/2002 Coll., on the support of research and development from public funds and on the amendment to some related acts (the Act on the Support of Research and Development) as amended, Government Regulations No. 461/2002 Coll. As amended and No. 462/2002 Coll. As amended.

Public research institutes must be listed in the Registry of Public Research Institutes, operated by the Ministry of Education, Youth and Sports of the Czech Republic.

Private entities must be registered in the Czech Republic and provide its R&D&I activities in the Czech Republic.

The proof of applicant's capacity must be in conformity with section 18 of the R&D Act No. 130/2002 Coll.

#### 3) Consortium configuration

Czech part of consortium must be configured from at least one industrial company registered in the Czech Republic and at least one public research institute or university from the Czech Republic

#### 4) Conditions on the technical content/scope

Projects can be supported from public financial sources only if the following results can be expected: new/innovated technologies, higher degree of innovation, patents and/or other types of results relevant for industry (and listed in the Czech R&D Council Methodology of R&D Evaluation). Publications, articles or other scientific papers are not regarded as eligible result in this type of projects.

## **2.3.4. Cyprus**

### **Eligibility criteria for funding**

Cyprus National ARTEMIS public funding authority is Research Promotion Foundation.

National website describing the national eligibility criteria and regulations for funding:

<http://www.research.org.cy>

#### **1. Type/nature of participant**

Research Organisation, Non-profit Organisation, or Small-Medium Enterprises located permanently in the Republic of Cyprus (excluding the UK Sovereign Base Areas).

#### **2. Legal/administrative/financial conditions**

The general terms and conditions regarding participation eligibility and proposal submission, the categories of organisations and individuals and the roles of the participants are described in detail in Chapter 19 of the “Framework Programme for Research, Technological Development and Innovation 2008” (DESMI 2008) Work Programme document.

#### **3. Consortium configuration**

There must be at least one eligible legal entity in the consortium. The participation of a Partner Organization from Cyprus is not compulsory.

#### **4. Conditions on exploitation/impact**

Tasks assigned to partners must be well specified and should consist of “Experimental Development” or “Industrial Research”. “Fundamental Research” will not be eligible.

### 2.3.5. Denmark **unchanged**

#### **Eligibility criteria for funding**

Denmark's national ARTEMIS public funding authority is The Danish Council for Technology and Innovation (RTI) RTI's general terms and conditions for funding are:

- Funding may only be awarded for specific, well-defined research and innovation activities. Thus, funding will not be awarded to permanent activities, such as continuous operating expenses. It is not possible to award funding for already completed activities, and the funding can be awarded at the earliest from the time of the formal decision on the proposal.

**Estonia unchanged**

**Eligibility criteria for funding**

No specific criteria

## 2.3.6. Finland **UPDATED**

### **Eligibility criteria for funding**

Finland's national public funding authority for ARTEMIS is Tekes, Finnish Funding Agency for Technology and Innovation. Tekes funding principles can be found at:

[www.tekes.fi/en](http://www.tekes.fi/en) (English)

[www.tekes.fi](http://www.tekes.fi) (Finnish)

#### **1. Type/nature of participants**

- Companies (enterprises)
- Industry associations
- Universities and polytechnics
- Public research institutes and similar research organizations

#### **2. Legal/administrative/financial conditions**

- A company is registered in Finland and has considerable industrial and R&D&I activities in Finland
- A company has a clear financial record and has the financial capability to cover its own expenses during the project

#### **3. Consortium configuration**

- A public research institute, university or a polytechnic shall be accompanied in the project by at least two eligible companies in Finland
- The project volume (costs) of public research institutes, universities and polytechnics in Finland combined shall not exceed the project volume (costs) of the companies in Finland

#### **4. Conditions on exploitation/impact**

- The project has sufficient positive effects on the Finnish economy or society.

## 2.3.7. France

### Eligibility criteria for funding

Reference website : <http://www.telecom.gouv.fr/artemis>

#### 1) Legal/administrative/financial conditions

- The work done by the partners must neither have already benefited from public funding (excluding generic fiscal aid) nor be redundant with similar projects already funded by French authorities.
- The financial situation of each private partner must be validated (financial structure, cash flow, operating accounts) and considered compatible (activity volume, workforce, financial capability) with the amount and the content of the eligible costs as well as with the amount of the demanded aid and of the already granted public aid.

#### 2) Consortium configuration

The part of the enterprises in the total eligible costs of the French participants must represent at least two thirds of this total amount.

*La part des entreprises dans l'assiette totale admissible au financement des autorités françaises doit représenter au moins les deux tiers de cette assiette.*

#### 3) Conditions on exploitation/impact

1. The partners must contribute to achieve one or several significant technological breakthroughs with a view of designing or improving products, services or processes that can be put by them on the market and that have a sufficient potential impact on their activity in the European Union and in particular in France, in terms of employment, competitiveness, value creation and growth at short- or medium-term.
2. The tasks assigned to partners must be well specified and should consist in « experimental development » or « industrial research » as defined in the R&D&I framework. « Fundamental research » will not be considered as eligible. In accordance with the R&D&I framework, the aid to each company must have an incentive effect on its R&D&I innovation.
3. Each partner has to provide to the Joint Undertaking, together with the project proposal submission, the documents specified in the reference website.

The application submitted must contain all elements which allow the French authorities to assess and justify the eligibility of the aid demanded by the partners. In particular the application must include, besides the documents required for application to the Joint Undertaking call and all documents listed in the reference website, information specifying for each partner the potential economical impact in the European Union in terms of employment, competitiveness, value creation and economic activity, as well as the positioning of the partner on the targeted markets and the timeframe of this impact.

*Le dossier soumis doit présenter les éléments permettant aux autorités françaises d'apprécier et de justifier l'admissibilité de l'aide demandée par les partenaires. En particulier, le dossier*

*doit comprendre, outre les documents requis au titre de l'appel à projets de l'entreprise commune et les documents mentionnés à l'alinéa vi, une fiche précisant, pour chaque partenaire, les perspectives de retombées économiques sur le territoire de l'union européenne, en termes d'emploi, de compétitivité, de création de valeur et d'activité économique, ainsi que le positionnement du partenaire sur les marchés visés et l'horizon temporel de ces retombées.*

## 2.3.8. Germany **UPDATED**

### Eligibility criteria for funding

**Reference weblinks where German partners can consult the national eligibility criteria for funding:**

- Förderprogramm IKT 2020 des BMBF <http://www.bmbf.de/pub/ikt2020.pdf>
- Hightech-Strategie der Bundesrepublik Deutschland  
[http://www.bmbf.de/pub/bmbf\\_hts\\_lang.pdf](http://www.bmbf.de/pub/bmbf_hts_lang.pdf)
- <http://www.pt-it.pt-dlr.de/de/artemis.php>

#### **1) Type/nature of participants**

- Industrial companies
- Universities, research establishments and similar institutions by participation of a German company in the same project
- Effort relation (in person month) between German companies and German research institutes of a project shall be 2:1 or higher
- The overall effort of a project with German participation should be at least 100 person years with a German participation of at least 10%.

#### **2) Legal/administrative/financial conditions**

- R&D projects shall relate to societal relevant questions. An essential ambition of funding is to strengthen the position of German project partners and companies exploiting research results in Germany and Europe as well as an accelerated technology transfer from the prior to competition area into the practical application. Projects should illustrate the added value of R&D results on the basis of an appropriated application, e.g. a demonstrator.
- A legally binding national application shall be arrived at the German authority not later than 2010-09-08.

#### **3) Conditions on the technical content/scope**

- Subjects to be funded in the ICT sector are high-risk industrial research projects and development projects prior to competition which focus on cross-border technologies and an application oriented approach, meet a sufficiently high level of innovation and could not be accomplished without funding.
- Each German partner shall contribute an effort of at least 6 person month to any individual technical work package in which this partner is involved.

#### **4) Conditions on exploitation/impact**

- The German Public Authority requires that the research project will make a relevant contribution to the High-Tech Strategy of the Federal Republic of Germany and to the ICT research programme “IKT 2020” of the BMBF.

- Funding will be allocated to application oriented research projects with sustained economic benefit for Germany and Europe in terms of safeguarding and creating employment, maintaining competitiveness and increasing added value.

### **Policy criteria within project selection**

The German PA takes the following criteria into account during the project selection phase of the call:

- Contribution to relevant European standards
- Necessity of European co-operation
- Added value for exploitation in Germany
- A heavy weight project which cannot be performed by German partners alone
- The project should relate to the objectives of the innovation action SPES 2020; see <http://spes2020.informatik.tu-muenchen.de/home.html>

### 2.3.9. Greece **UPDATED**

National website describing the national eligibility criteria for funding: <http://www.gsrt.gr>

#### 1) **Type/nature of the participants:**

All legal entities

#### 2) **Legal/administrative/financial conditions:**

- Eligible enterprises are those having been operating for, at least, two (2) economic years and have published, at least, two (2) balance-sheets. For the “Spin-off” Enterprises eligible are those having been operating for, at least, (1) economic year and have published one balance-sheet.
- 2.2 For private Companies and SMEs, the Public contribution covers maximum 33,3%, and the JU contribution covers 16,7% , of the total budget of the specific project. Their own contribution covers at least 50% of the total budget of the specific project
- For the Public Research Institutes and Universities, the Public contribution covers maximum 83,3 % and the JU covers 16,7% of the total budget of the specific project.
- The National contribution per Greek legal entity (Public or Private) cannot not exceed 40%, as maximum, of the total available National contribution for the specific Call of Proposals 2010, of JU ARTEMIS. In case that a Greek eligible entity participates in more than one successful/funded projects in the frame of one/unique call , and the budget of those projects exceeds 40%, of the total National contribution to the JU the total budget of its funded projects will be, equally, reduced to 40% of the total national contribution to the JU.
- Subcontracting cannot exceed 25% of the budget for the specific part of the project undertaken by the Greek project partner. Concerning the quotas of direct subcontracting and in order to ensure competition, the rules applied to all other European projects are also applied in this case.
- Activities already financed by other public funds will not be financed by the Joint Undertaking ARTEMIS. A formal declaration is demanded from the applicants/participants, stated that they have not receive nor they will receive any kind of Public funding/funds for the same purpose, is absolutely demanded.

### 2.3.10. Hungary

(not communicated)

## 2.3.11. Ireland

[www.enterprise-ireland.com/JTIs](http://www.enterprise-ireland.com/JTIs)

### 1) Type/nature of participants

- Companies that are eligible to receive R&D funding from one of the following agencies will be considered for national funding; Enterprise Ireland, IDA Ireland, Udaras na Gaeltachta, Shannon Development.
- Irish third level research performing organisations will be eligible for national funding.

### 2) Other conditions:

The relevant national funding agency should be satisfied that a company seeking national funding has the potential to derive a benefit, proportionate to the national funding being sought, through the exploitation of the results of the proposed project or otherwise.

### 3) Consortium configuration

Projects should be introduced by companies and primarily executed to the benefit of these entities.

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Note that Irish companies must clearly state in the proposal the following points:

1. From which of the four Irish agencies (Enterprise Ireland, IDA Ireland, Udaras na Gaeltachta or Shannon Development) it is eligible to receive national R&D funding
2. Explain how it has the potential to derive a benefit, proportionate to the national funding being sought, through the exploitation of the results of the proposed project or otherwise.

## 2.3.12. Italy

### Eligibility criteria for funding

#### National website describing the national eligibility criteria for funding:

The Italian laws applicable to ARTEMIS / ENIAC projects are the following:

- Decreto Legislativo n. 297 of 27/07/1999
- Decreto Ministeriale n. 593 of 8/08/2000
- Decreto Ministeriale n. 4 of 2/01/2008 e relativa nota esplicativa del 15/05/08
- Procedure operative per la valutazione ed il finanziamento dei progetti selezionati dalle JTI ARTEMIS ed ENIAC e dagli art. 169 AAL ed Eurostars (28/08/2008)

The only version legally valid is the Italian text published on the Italian Official Journal.

These laws and procedures can be found on the web sites:

[http://www.miur.it/0003Ricerca/0139FAR\\_-\\_index\\_cf3.htm](http://www.miur.it/0003Ricerca/0139FAR_-_index_cf3.htm)

[http://www.miur.it/0003Ricerca/0142Ricerca/index\\_cf3.htm](http://www.miur.it/0003Ricerca/0142Ricerca/index_cf3.htm)

#### 1) Type/nature of participants

- a. companies which do industrial activities aimed at production of goods and/or services.
- b. companies which do activities in the field of transportation.
- c. artisan companies as of law n. 443 of 8/08/1985.
- d. research centres promoted by one or more of the organizations mentioned on the previous points a, b and c.
- e. any kind of consortium, providing that the partners falling in the above mentioned points a, b, c and d owns more than 50% of the financial share. In case the consortium is located in underdeveloped areas of the country this threshold is lowered to 30%.
- f. The “Parchi scientifici e tecnologici” listed in the resolution MURST of 25/03/1994.
- g. Universities, public research organizations (art. 8 of DPCM n. 593 of 30/12/1993), ENEA, ASI and other research organisations can send an application only jointly with one or more partners falling in one of the above mentioned points a, b, c, d and e. These latter partners, however, must have a financial share of the cost of the Italian part of the project higher than 50%. In case the Italian part of the project is entirely performed in the underdeveloped areas of the country this threshold is lowered to 30%.

Any organisation, to be eligible, must be steadily located in Italy.

#### 2) Legal/administrative/financial conditions:

- The participant must not be defaulting with regard to other funding received by the Ministry.
- The participant must not have requested/got any other funding for the same project.

- The participant must respect the Italian law against "mafia".

### **3) Consortium configuration**

Projects should be introduced by companies and primarily executed to the benefit of these entities (see point 1.g).

### **4) Conditions on exploitation/impact**

- Companies must have the financial means to execute the project and a potential to use the results.
- The participant should foresee, after the end of the project, the exploitation of the results of the project so to guarantee the return of the investment.

### **5) Financial conditions**

The following financial criteria, calculated using the data reported in the last approved balance sheet, must be fulfilled

a.  $CN > (CP - I)/2$

Where:

CN = net assets (Capitale netto)

CP = sum of the costs of all the projects for which public funding has been requested by the participant during the year

I = sum of the contributions received, approved or requested for the same projects

b.  $OF/F < 8\%$

Where:

OF = financial charges (Oneri finanziari)

F = turnover (Fatturato)

### 2.3.13. Latvia **UPDATED**

**National website describing the national eligibility criteria for funding:**

[www.lza.lv](http://www.lza.lv)

#### **1) Type/nature of participants**

Following legal persons (as defined under the Latvian law) are eligible for funding, except natural persons:

- ✓ enterprises, companies and/or industry associations, when they form part of consortia with R&D institutions;
- ✓ R&D institutions - research institutes, universities, higher education establishments, their institutes and research centres etc.

#### **2) Funding rates**

Maximum public funding intensity is applied under the provisions of Community Framework for State Aid for Research, Development and Innovation (OJ C323, 20.12.2006, p.1).

#### **3) Consortium configuration**

Enterprises, companies and/or industry associations participate in the projects, when they form part of consortia with R&D institutions.

If there is no Latvian enterprise or industry association involved as a partner in the project, the industrial relevance of the involvement of a R&D institution must be justified by declaration from the Latvian Information and Communications Technology Association (LIKTA) or from the Latvian Electrical Engineering and Electronics Industry Association (LEtERA).

#### **4) Legal and administrative conditions**

The funding of RTD activities is provided pursuant in accordance with the Commissions Regulation (EC) No 800/2008 of 6 August 2008 declaring certain categories of aid compatible with the common market in application of Articles 87 and 88 of the Treaty (General block exemption Regulation), the Law on Research Activity (adopted on 14 April 2005 and as amended on 21 June 2007) and Regulation of the Council of Ministers of the Republic of Latvia No. 722 on the procedure for providing support for participation in international cooperation programs for research and technology (adopted on 8 September 2008).

R&D institution (research institutes, universities, higher education establishments, research centres etc.) must be listed in the Registry of Research Institutions operated by the Ministry of Education and Science of the Republic of Latvia.

Private entities must be registered in the Registry of Enterprises of the Republic of Latvia and provide most of its activities in the Republic of Latvia.

#### **5) Conditions on exploitation/impact**

Enterprises and industry associations must provide declaration on the possible industrial impact and justify that they have the necessary means to exploit the project results.

R&D institutions must specify national industrial impact of the project in terms of exploitation opportunities for Latvian economy by declaration of relevant industrial association.

## 2.3.14. Netherlands

### Eligibility criteria for funding

Background documents and other information can be downloaded from the website of SenterNovem: <http://www.senternovem.nl/pointone/> . The Dutch text on this web-site takes precedence over the English text below.

#### 1) Admission conditions

The Netherlands will support the Dutch partners in projects selected by the ARTEMIS Joint Undertaking when:

- the project concerns industrial research, experimental development or a combination of these;
- in the project one or more Dutch partners are involved which include minimal one industrial partner. In the case of only one Dutch partner it has to be a SME;
- the entrepreneurs of the Dutch consortium provide the major contribution to the Dutch part of the project;
- the objectives of the Dutch part of the project fit within the Phase2 Multi-annual Roadmap and the Phase2 Annual Plan 2009 of the Innovation Programme Point One (see the above mentioned web-site);
- the project complies with the “Algemene wet bestuursrecht” and the “Kaderwet EZ-subsidies”;

Dutch partners in a proposal must include in the Project Outline (PO) and in the Full Project Proposal (FPP) sent to the Joint Undertaking the following information:

- Authorisation form
- Model overview of the costs
- Explanation of the contribution to the objectives of the Innovation Programme Point One
- SME check (when applicable)

**Note** that in case that there are several Dutch partners in the proposal, the Dutch partner coordinating them (the so-called "Dutch coordinator") will be in charge of submitting the above information on their behalf. The information and forms will be submitted as Part C of the PO/FPP, as indicated in the Guide for Applicants in the section for The Netherlands.

The required forms can be downloaded from the website of SenterNovem: [www.senternovem.nl/pointone/artemis\\_en\\_eniac\\_aanvraag/formulieren.asp](http://www.senternovem.nl/pointone/artemis_en_eniac_aanvraag/formulieren.asp)

#### 2) Rejection conditions

An application for support of the share of Dutch participants of a project is rejected when:

- in the case of more than one Dutch partner, the partner that submits the application on behalf of all Dutch partners (the "Dutch coordinator") is not an enterprise;
- it is not credible that the Dutch partners can finance their share in the project;
- it is not credible that the project can be completed within 4 years;

- it is credible that the project without subsidy would have been finished without substantial delays;
- there is insufficient trust that Dutch partners have the necessary capacities to fulfil the project as submitted;
- the project has insufficient positive effects on the Dutch economy;
- the Dutch part of the project contributes insufficiently to the objectives of the Phase2 Multi-annual Roadmap and the Phase2 Annual Plan 2009 of the Innovation Programme Point One (development of the eco-system and business cases R&D, ect.).

### 3) Subsidy maxima, percentages, eligible costs for subsidy

- ✓ The **eligible costs for subsidy** are in compliance with the RTD State Aid Rules
- ✓ The subsidy percentages are indicated in the Guide for Applicants
- ✓ In case another Dutch administrative body has already granted a subsidy for the eligible costs of the Dutch part of an ARTEMIS project or part of such project, the contribution will be granted so that the total amount of subsidy will not exceed the above-mentioned percentages.
- ✓ In case that a contribution has been already granted for the eligible costs for subsidy to the Dutch part of an ARTEMIS project or part of it on the basis of a subsidy scheme of the Ministry of Economic Affairs, no subsidy will be granted for the already subsidized part.
- ✓ Per individual Dutch partner the subsidy percentages will be applied according to the activities (research; development). The project eligible costs per partner will be defined and the corresponding percentages will be applied. The Dutch consortium is responsible for the distribution of the subsidy amongst the Dutch partners.

## 2.3.15. Norway **unchanged**

### **Eligibility criteria for funding**

**National website describing the national eligibility criteria for funding:**

<http://www.forskningsradet.no/ictjti>

#### **1) Type/nature of participants**

- Enterprises (companies)
- Industry associations
- Universities and & Higher Education Institutions
- Public research institutes and similar research organisations

#### **2) Legal/administrative/financial conditions**

- Enterprises must be established in Norway and perform RTD activities in Norway.
- General eligibility criteria and conditions for receiving project funding from the Research Council of Norway will apply (i.e. the recipient must be a registered legal entity, demonstrate financial viability, and provide transparency as to funding requested or received from other sources)

#### **3) Consortium configuration**

If there is no Norwegian enterprise or industry association involved as a partner in the project, the industrial relevance of the involvement of a research organisation must be justified by declarations from some eligible (according to criteria 2 above) Norwegian enterprise(s).

#### **4) Conditions on exploitation/impact**

- Enterprises (companies) must provide specific information on the possible industrial impact and justify that they have the necessary means to exploit the project results.
- Universities and other research organisations must specify national industrial impact in terms of exploitation opportunities for Norwegian companies.

### 2.3.16. Portugal **UPDATED**

<http://alfa.fct.mctes.pt/jti/artemis/>

#### 1) Type/nature of participants

- Institutions of higher education, their institutes and R&D centers;
- Associate Laboratories;
- State Laboratories;
- Private non-profit institutions whose primary object is S&T activities;
- Companies (small, medium, large or groups and associations of enterprises);
- Other public or private non-profit institutions that carry out or participate in scientific research activities.

#### 2) Legal/administrative/financial conditions

Consult the Regulations governing access to funding for S&T&D research projects at FCT website:

<http://alfa.fct.mctes.pt/apoios/projectos/regulamento.phtml.en>

The Principal Investigator of a Portuguese Partner must dedicate at least 25% of time to the Project. The total time dedicated to FCT-funded projects must not exceed 100%.

## 2.3.17. Romania

### Eligibility criteria for funding

Romanian legal entities:

- R&D organizations
- Research Institutes
- Universities
- SMEs
- Industry
- NGOs
- Public administration.

Potential applicants may not participate in calls for proposals or be awarded grants if:

- they are bankrupt or being wound up, are having their affairs administered by the courts,
- The capability of performing R&D activities is not certified according to the Government Decision no. 551/2007 - see [www.mct.ro](http://www.mct.ro) .
- They don't have their research activity – CAEN code 7310 – as one of their activities in their fiscal documents;
- They are not registered in RPC (Register of Potential Contractors).
- They are guilty of grave professional misconduct proven by any means which the Contracting Authority can justify.

### 2.3.18. Slovenia **UPDATED**

Those interested may consult the national eligibility criteria for funding and national funding rates in the document entitled "Programme for the promotion of technological development and information society for the period 2007-2012" at the following WWW site (in Slovene: "Program za spodbujanje tehnološkega razvoja ter informacijske družbe za obdobje 2007-2012"):

[http://www.mvzt.gov.si/si/zakonodaja\\_in\\_dokumenti/veljavni\\_predpisi/tehnologija/](http://www.mvzt.gov.si/si/zakonodaja_in_dokumenti/veljavni_predpisi/tehnologija/)

and subjected to the Commission Regulation (EC) No 800/2008 (General block exemption Regulation) of 8 August 2008 (OJ L 214, 9.8.2008).

#### **MEASURE: Collaboration in programmes of the European Union**

##### **TARGET GROUPS AND CONDITIONS**

The ministry provides for co-financing research and development projects which are connected with transnational research and development projects in the EU. Co-financing is provided to organisations which are included in the said transnational projects.

Enterprises shall not be entitled to incentives from this programme which:

- are subject to bankruptcy proceedings, compulsory settlement proceedings, or liquidation proceedings,
- were on 31 December in the year prior to publication of the public tender for granting state aid insolvent according to Article 14 of the Financial Operations, Insolvency Proceedings, and Compulsory Dissolution Act - ZFPPIPP (Official Gazette of the Republic of Slovenia, No. 126/07),
- have acquired from the year 2000, or are in the procedure of acquiring, State aid for rescue and restructuring enterprises in difficulty,
- have acquired for the same costs, or are not in the acquisition procedure of co-financing from other resources from the state or local budget,
- have unsettled liabilities towards the state.

## 2.3.19. Spain

Detailed information on the eligibility criteria for funding applicable to ARTEMIS projects, including the participation of Spanish entities, will be available through the AyudaTEC website: <http://WWW.MITyC.ES/ARTEMIS>

### 1) Type/nature of participants

Beneficiaries must belong to one of the following categories:

- Enterprises.
- Research Organizations.
- Groups and Associations of Enterprises.

### 2) Legal/administrative/financial conditions

#### 2.1) Administrative requirements

- Every national participant has to be established in Spain.
- To be eligible, every Spanish participant must include the Spanish “Entity Identification Code” (*CIF: Código de Identificación Fiscal*) in the proposal (for example, in the Technical Annex), and in the documentation provided to the Spanish Public Authorities.
- To be eligible, every Spanish participant must be registered in the Registry for R&D entities of the Spanish Ministry of Industry, Tourism and Trade, in accordance with Ministerial Order ITC/570/2006. The registration number must be included in the proposal (for example, in the Technical Annex). It will be mandatory at the FPP step.

#### 2.2) Legal requirements

It will be mandatory to fulfil all Spanish current legal requirements for applying for public grants:

- In particular, the requirements of article 13 of the Spanish General Law of Grants and its Rules, according to the Royal Decree 887/2006, in order to obtain the condition of beneficiary. Such as, the fulfilment of the obligations of payment of social security contributions and taxes, and of withholding or reduction of the grants. One certification for taxes, one certification for social security contributions, and one statement of the fulfilment of the obligations of payment of withholding or reduction of the grants must be included in the proposal at the FPP step (for example, in the Technical Annex).
- Also, the requirements of articles 21 and 25 of the Spanish General Law of Grants and its Rules, according to the Royal Decree 887/2006. One statement of the fulfilment of the obligations of articles 21 and 25 must be included in the proposal at the FPP step (for example, in the Technical Annex).
- In addition, articles 29 and 31 of the Spanish General Law of Grants establish several requirements related to the amount of subcontracting and means of guaranteeing competition and transparency in the award of contracts, such as:
  - When the amount of the contract exceeds 30.000 € in the case of realization of civil works, or 12.000 € for equipment supplies and services, the beneficiary must obtain a minimum of three bids. Contracts have to be awarded to the bid offering best value for money, under conditions of transparency and equal treatment. This must be proved at the national justification step.

- The cost of subcontracting must not exceed 50% of the total cost for the Spanish participants.
- A written contract must be signed when the subcontracting exceeds 20% of the grant awarded by Spanish Public Authorities and the total amount of the contract is higher than 60.000 €. The contract will be provided at the national justification step.
- Subcontracting with any other participant in the same project is not allowed.
- Subcontracting costs from Public Research Institutes and Universities will be not eligible for Spanish National Authorities.
- Spanish entities subcontracted by non-Spanish consortium members will not be considered eligible by Spanish National Authorities.

It will be mandatory as well to fulfil other applicable legal requirements ruled in the following legal texts:

- Community Framework for State Aid for Research and Development and Innovation (2006/C 323/01).
- Ley 38/2003, de 17 de noviembre, General de Subvenciones.
- Real Decreto 887/2006, de 21 de julio, por el que se aprueba el Reglamento de la Ley 38/2003, de 17 de noviembre, General de Subvenciones.
- Leyes anuales de Presupuestos Generales del Estado.
- Ley 47/2003, de 26 de noviembre, General Presupuestaria.
- Ley 30/1992, de 26 de noviembre, de Régimen Jurídico de las Administraciones Públicas y del Procedimiento Administrativo Común.
- Ley 30/2007, de 30 de octubre, de Contratos del Sector Público.
- According to the Article 13.6. (b) of the Statutes of the ARTEMIS JU, other financial and legal requirements in force at the moment of the adoption of a particular administrative decision.

The Spanish legal texts can be found on <http://WWW.BOE.ES>

### **2.3) Financial requirements**

- Eligible costs will be those defined in the Community framework for State Aid for Research and Development and Innovation, adopted by the Commission on 22 November 2006 and published in the Official Journal of the European Union on 30 December 2006 (OJ C 323, 30.12.2006, p. 1).
- To be eligible, at the FPP step each Spanish participant has to demonstrate clearly in the proposal (for example, in the Technical Annex) its solvency and financial capacity to carry out the project, and the Spanish Public Authorities have to assess it positively.

### **3) Consortium configuration**

- The participation of the Spanish industry in a given project must be 50% or more of the budget of all Spanish participants. This must be clearly stated in the proposal.
- At least one Spanish Partner must be an enterprise.
- Projects with the participation of research centres or universities alone will not be funded.
- When there is more than one Spanish participant in a project, one of them will act as Spanish Co-ordinator. The Spanish Co-ordinator must be an enterprise. The Spanish Co-ordinator must be clearly stated at the FPP step in the Technical Annex.
- The Spanish Public Authorities can make all payments to the Spanish Co-ordinator. In such case, the Spanish Co-ordinator will distribute the funding among the other

Spanish participants in the project. In this case, the Spanish Co-ordinator will be responsible for the technical and financial reporting to the Spanish Public Authorities of all Spanish participants in the project.

#### 4) Conditions on the technical content/scope

- The earliest starting date of the project for charging the eligible costs will be the 1<sup>st</sup> of January of 2010.
- The Technical Annex has to include, for each Spanish participant, a breakdown and a schedule of the activities to be carried out. At the FPP step these must be detailed at task level.
- A short résumé of all key researchers must also be included.
- The Public research Institutes and Universities must state in the Technical Annex a personnel breakdown by type (staff, contractor, etc), cost and time at FPP step.
- The technical scope should accomplish the definition of “industrial research” or “experimental development” given in the Community Framework for State Aid for Research and Development and Innovation.
- Fundamental research activities will not be funded by Spanish National Authorities.
- At the FPP step, large enterprises should describe the incentive effect of the aid on their R&D activities, according to the Community Framework for State Aid for Research and Development and Innovation. The following criteria may be used, together with other relevant quantitative and/or qualitative factors submitted by the Member State that made the notification:
  - *Increase in project size*: increase in the total project costs (without decreased spending by the aid beneficiary by comparison with a situation without aid); increase in the number of people assigned to R&D&I activities;
  - *Increase in scope*: increase in the number of the expected deliverables from the project; more ambitious project illustrated by a higher probability of a scientific or technological break-through or a higher risk of failure (notably linked to the higher risk involved in the research project, to the long-term nature of the project and uncertainty about its results);
  - *Increase in speed*: shorter time before completion of the project as compared to the same project being carried out without aid;
  - *Increase in total amount spent on R&D&I*: increase in total R&D&I spending by the aid beneficiary; changes in the committed budget for the project (without corresponding decrease in the budget of other projects); increase in R&D&I spending by the aid beneficiary as a proportion of total turnover.

More information can be found at <http://WWW.MITyC.ES/ARTEMIS>

- The Technical Annex will include for each Spanish participant a budget breakdown per concept and per year, according to the categories of eligible costs of the Community Framework for State Aid for Research and Development and Innovation.
- The concepts for the breakdown will be:
  - Costs of instruments and equipment to the extent and for the period used for the research project. If such instruments and equipment are not used for their full life for the research project, only the depreciation costs corresponding to the life of the research project, as calculated on the basis of good accounting practice, are considered as eligible.
  - Personnel costs (researchers, technicians and other supporting staff to the extent employed on the research project)
  - Cost of contractual research, technical knowledge and patents bought or licensed from outside sources at market prices, where the transaction has been carried out at

- arm's length and there is no element of collusion involved, as well as costs of consultancy and equivalent services used exclusively for the research activity.
- Indirect cost: A maximum percentage of 20% of valid personnel costs justified can be applied, without any specific justification documentation. These indirect costs include the travel expenses, so they can not be included in other concepts.
  - Other operating expenses, including costs of materials, supplies and similar products incurred directly as a result of the research activity.
  - To be eligible, a project must account for some kind of Spanish leadership. The fulfilment of one of the following criteria at the PO and FPP step may be used to demonstrate it:
    - Leadership of the whole project.
    - Leadership of two work-packages.
    - Leadership of one work-package and two tasks.
    - Leadership of four tasks.
  - Participants which have received any other national public funding for the same or very similar project, activities or tasks will not be considered eligible. At the FPP step this must be stated in the Technical Annex for each Spanish participant.

#### **5) Conditions on exploitation/impact**

- To be eligible, the Technical Annex has to include at the FPP step for each Spanish participant a detailed explanation at European and Spanish levels about:
  - The future exploitation of the results of the project.
  - The estimation of its potential impact in terms of competitiveness, employment, market position, return on investment and added value.

#### **6) Financial conditions**

- To be eligible, the total amount of the whole Spanish participation in a single project must have a minimum budget of 500.000 Euros, in terms of total eligible costs.
- Every Spanish participant has to state which funding percentage applied for, and it must be justified at the FPP step. Specially, medium and small enterprises type of organizations, must prove that they fulfil the European definition for medium or small enterprise in all their aspects. More information can be found at <http://WWW.MITyC.ES/ARTEMIS>

#### **7) Specific requests for Spanish participants in the selected proposals**

- After the evaluation and selection procedure of the ARTEMIS Joint Undertaking, the Spanish participants in the selected proposals must complete all the forms and documents requested by the Spanish Public Authorities, in order to conclude national grant agreements.
- These forms and documents must be electronically submitted to the Spanish Public Authorities before a specific deadline set by the Spanish Public Authorities. The submission should be made using an electronic signature.
- Every Spanish participant in the selected proposals must be registered and updated in the Registry for R&D entities of the Spanish Ministry of Industry, Tourism and Trade, according with Ministerial Order ITC/570/2006.

## 2.3.20. Sweden **unchanged**

### **Eligibility criteria for funding**

*The eligibility criteria specific to Sweden's national funding is based on the general terms of funding at VINNOVA as described on VINNOVA's Web-portal: <http://www.vinnova.se/finansiering>*

To be considered for funding, VINNOVA requires the following general eligibility criteria for Swedish participants to be fulfilled:

- Submission to the relevant call for proposals is received before the due time specified in the call
- The submitted proposal is complete with all information and descriptions required

#### **1) Type/nature of participants, and consortium configuration**

Calls are open for public and private companies of all sizes as well as for universities and research institutes in Sweden fulfilling the following conditions:

- Only legal persons are eligible to participate. Natural persons are not allowed.
- A participating company is registered in Sweden, as a joint-stock (*aktiebolag*), and has a considerable business along with a recognisable record of R&D and industrial activities in Sweden
- A participating company has a stable financial status and is able to cover its own expenses for the duration of the project
- A participating company is required to provide a credible proof for the positive impacts of the project outcome on the participant's growth and future assets
- A participating academic organisation (from university or public research institute) will be considered only if there are at least two eligible Swedish companies participating in the same project consortium.
- The work volume to be performed by the academic participant will not exceed the sum of the work volume performed by the participating Swedish companies.

#### **2) Legal/administrative/financial conditions**

##### **2.1) Funding Criteria and Rates**

Total national funding of a project consortium is limited to **33,3%** of the *total cost* for all Swedish participants (one or more) in the project consortium. This limitation is based on the principle of equal share of funding between private industry and public authorities (VINNOVA and European Commission)

##### **2.2) Subcontracting**

Subcontracting of project work is permissible within the following limitations:

- Cross-linked subcontracting between participants in the same project is not permitted

- Subcontracting to foreign companies/organisations or to companies' foreign units is not permitted
- Subcontracting to companies, with a declaration of interest in project work, must be for non-profit and audited
- A participant, with public funding exceeding 50% of total cost, is responsible for complying with the financial regulations of the European Commission

## 2.3.21. United Kingdom **UPDATED**

### **Eligibility criteria for funding**

**National website describing the national eligibility criteria for funding:**  
[www.innovateuk.org](http://www.innovateuk.org)

#### **1) Type/nature of participants**

Projects are open to UK businesses of all sizes, small, medium and large, as defined under EU definitions, as well as UK academic institutes providing there is also a UK industrial partner within the consortium.

#### **2) Legal/administrative/financial conditions**

Specific rules applying to Research Technology Organisations (RTOs) and to Micro companies can be found at the website above.

#### **3) Conditions on exploitation/impact**

The aims of the project must align with the UK Government's Innovation Strategy and with the Technology Strategy Board's criteria for support.